



PTO/SB/21 (08-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/697,584
	Filing Date	October 29, 2003
	First Named Inventor	Theodore M. KHALILI
	Art Unit	3739
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	8 pages + 4 refs.	Attorney Docket Number 203782000400

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Form PTO-1449, submitted in duplicate (4 pages)
<input checked="" type="checkbox"/> Information Disclosure Statement (3 pages)	<input type="checkbox"/> CD, Number of CD(s) _____	Return postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Arthur S. Hsieh - 48,247
Signature	
Date	February 26, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 27, 2004

Signature:

(Kimberly A. Benjamin)



PATENT
Docket No. 203782000400

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Kimberly A. Benjamin
Kimberly A. Benjamin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Theodore M. KHALILI

Serial No.: 10/697,584

Filing Date: October 29, 2003

For: ROBOTIC SURGICAL DEVICE

Examiner: Not Yet Assigned

Group Art Unit: 3739

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

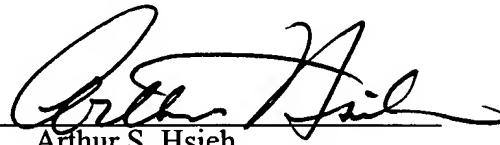
Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 203782000400. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

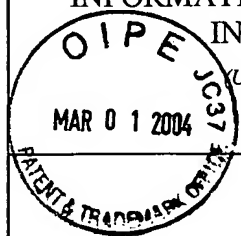
Dated: February 26, 2004

Respectfully submitted,

By: 
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Registration No. 48,247

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 203782000400	Application Number 10/697,584
	Applicant Theodore M. KHALIHI	
	Filing Date October 29, 2003	Group Art Unit 3739
	Mailing Date February 27, 2004	



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	08/15/2002	2002/0111713	Wang et al.			
	2.	11/21/2002	2002/0173700	Kim et al.			
	3.	01/23/2003	2003/0017032	Olesen et al.			
	4.	05/01/2003	2003/0083650	Wang et al.			
	5.	05/01/2003	2003/0083651	Wang et al.			
	6.	09/25/2003	2003/0180697	Kim et al.			
	7.	11/01/1988	4,782,258	Petrosky			
	8.	04/18/1989	4,822,238	Kwech			
	9.	07/24/1990	4,943,296	Funakubo et al.			
	10.	08/07/1990	4,946,421	Kerley, Jr.			
	11.	02/04/1992	5,086,401	Glassman et al.			
	12.	05/12/1992	5,113,117	Brooks et al.			
	13.	08/04/1992	5,136,201	Culp			
	14.	10/20/1992	5,157,316	Glovier			
	15.	05/25/1993	5,214,727	Carr et al.			
	16.	09/21/1993	5,245,885	Robertson			
	17.	11/30/1993	5,265,667	Lester, II et al.			
	18.	03/08/1994	5,293,094	Flynn et al.			
	19.	06/07/1994	5,318,471	Glovier			
	20.	10/15/1994	5,327,033	Guckel et al.			
	21.	07/19/1994	5,331,232	Moy et al.			
	22.	07/05/1994	5,357,807	Guckel et al.			
	23.	06/25/1996	5,528,955	Hannaford et al.			
	24.	07/14/1998	5,778,730	Solomon et al.			
	25.	12/07/1999	5,996,346	Maynard			
	26.	08/15/2000	6,102,850	Wang et al.			

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 203782000400	Application Number 10/697,584
	Applicant Theodore M. KHALIHI	
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	27.	05/15/2001	6,231,565	Tovey et al.			
	28.	07/03/2001	6,256,134	Dhuler et al.			
	29.	04/23/2002	6,374,982	Cohen et al.			
	30.	06/04/2002	6,398,726	Ramans et al.			
	31.	08/06/2002	6,428,266	Solomon et al.			
	32.	08/06/2002	6,430,475	Okamoto et al.			
	33.	08/20/2002	6,436,107	Wang et al.			
	34.	09/10/2002	6,447,443	Keogh et al.			
	35.	09/24/2002	6,454,624	Duff et al.			
	36.	10/22/2002	6,470,236	Ohtsuki			
	37.	04/29/2003	6,554,844	Lee et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
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OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	38.	Koc, B. et al. (Date Unknown). "Design of a Piezoelectric Ultrasonic Motor for Micro-Robotic Application," 15 pages.
	39.	Framatome Technologies (1997). "Modular Electric Robotic Joints," 2 pages.
	40.	Peirs, J. et al. (1998). "A Microrobotic Arm for a Self Propelling Colonoscope," <i>Proc. Actuator 98, 6th Int. conf. on New Actuators</i> , pp. 576-579.
	41.	Venema, S.C. et al. (1995). "Miniature Telerobots in Space Applications," <i>Proc. Int'l Conf. on Integrated Micro/Nanotechnology for Space Applications</i> , 9 pages.

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